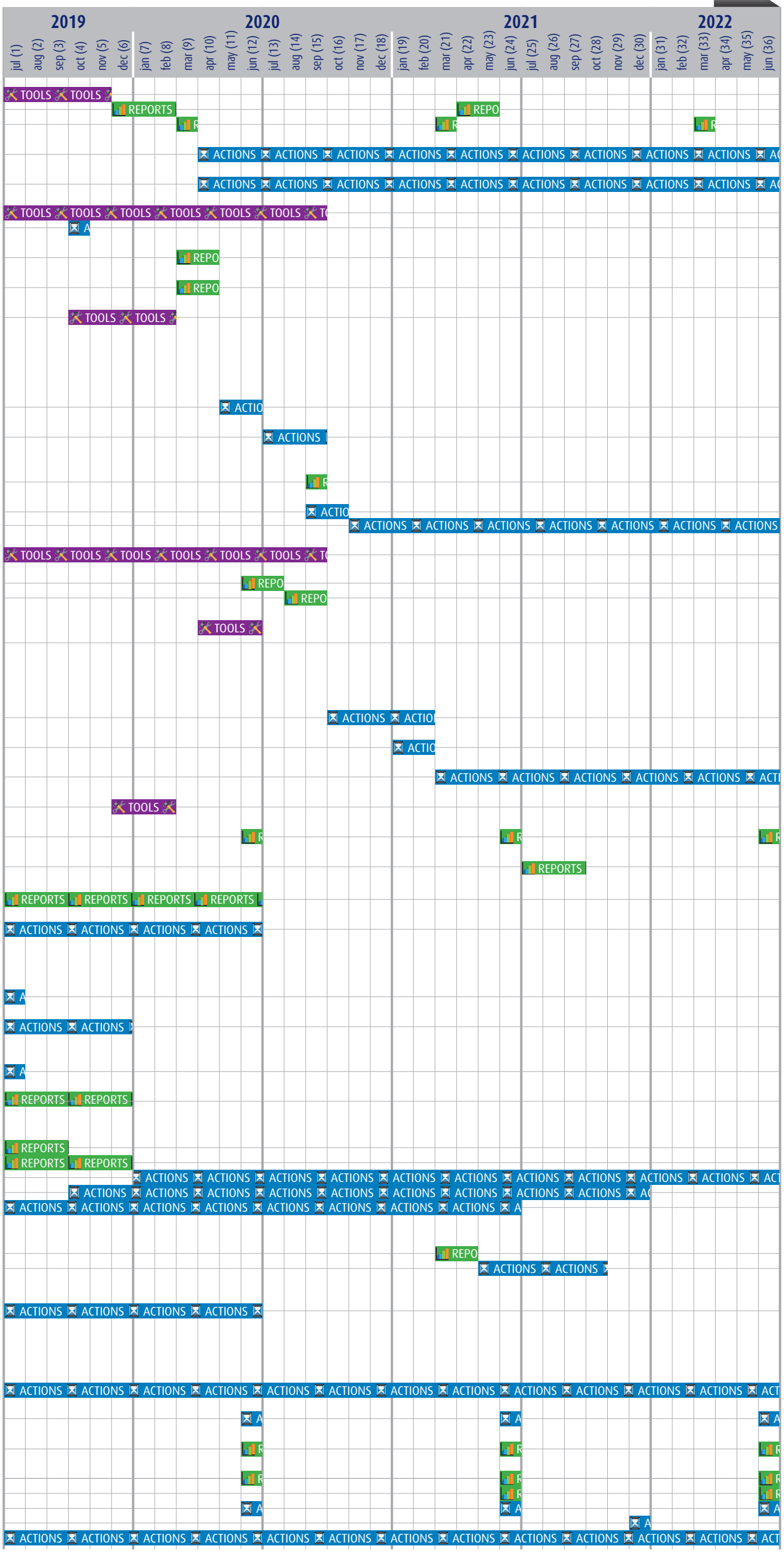
Roadmap for implementing the IRBC Agreement for the Metals Sector first 36 months

Legend TOOLS · ACTIONS · REPORTS · RECURRING DELIVERABLE

Corresponding chapter in the Agreement	Sub-action(s)		Action holder
Chapter 3 Individual Company and Collective Due Diligence	**Management system maturity development**	• Develop and approve template for the maturity assessment.	• The Parties
		• Complete individual maturity assessment and share with the Secretariat.	• The Companies
		• Set target and develop plan to improve on individual maturity, share with the Secretariat. ◌	• The Companies
		• Consolidate maturity improvement targets and plans. Develop and implement support program.	• The Parties
		• Execute maturity improvement plans. ◌	• The Companies
	Individual Company due diligence	• Develop toolbox to perform due diligence risk assessments.	• The Parties
		• Provide Companies with list of the necessary (due diligence) information they need to gather for the risk assessment.	• The Parties
		• Perform risk assessment and deliver/share Company basic heat map	• The Companies
		• Identify hotspots and challenges around understanding the chain of custody.	• The Companies
		• Develop template for individual company due diligence action plan to: 1: address challenges around chain of custody information 2: deepen understanding of risks in the supply chain 3: determine if and how to respond to identified risks 4: create and use collective leverage	• The Parties
		• Consult the Parties/seek advice for individual Company due diligence action plan.	• The Companies
		• Prepare draft individual Company due diligence action plan including provisions on third party audits where applicable (seek support from the Secretariat where needed).	• The Companies
		• Finalise individual Company due diligence action plan, share with the Secretariat.	• The Companies
		• Assessment of individual Company due diligence action plan.	• The Parties
		• Execute (amended) individual Company due diligence action plan. ◌	• The Companies
	Collective due diligence	• Develop a tool for collecting and analysing industry due diligence information.	• The Parties
		• Consolidate information and deliver industry heat map	• The Parties
		• Identify industry hot spots and challenges around understanding the chain of custody (supply chain).	• The Parties
		• Develop template for collective due diligence action plan (hereafter collective action plan) to: 1: address challenges around chain of custody information 2: deepen understanding of risks in the supply chain 3: respond to identified risks 4: create and use leverage to (help) address collective issues	• The Parties
		• Develop collective action plan with concrete key performance indicators (with the support of the Secretariat). ◌	• The Parties
		• Consultation between the Parties to agree on collective action plan including the development of collective projects where applicable. ◌	• The Parties
		• Execute collective action plan. ◌	• The Parties
	Reporting	• Develop template for individual Company reporting and share with companies.	• The Parties
		• Report on Company due diligence hotspots and chain of custody challenges. ◌	• The Companies
		• Publish collective due diligence report. ◌	• The Parties
Chapter 4 Responsible Secondary Materials Supply Chains		• Develop a plan with tailored actions and tools to support secondary materials supply chain due diligence.	• The Parties
		• Reach out to three additional secondary materials collectors, traders and/or processing companies.	• The Parties
Chapter 5 Collective Actions to Address Systemic risks and Increasing Collective Leverage	**Internal and external communication**	• Inform staff and management about the Agreement and role in the process.	• The Parties
		• Inform external parties and business relations about the Agreement and role in the process.	• The Parties
		• Publish information about the Agreement on the websites of the organisations website.	• The Parties and Supporting Organisations
		• Communication strategy containing the objectives and processes for international and external communication.	• The Parties
	Increasing sign-on and upscaling	• Develop a list of relevant actors for upscaling.	• The Parties
		• Develop outreach strategy.	• The Parties
		• Execute communication and outreach strategy. ◌	• The Parties
		• Outreach to all the supply chain actors on the list.	• The Parties
		• Increase the number of companies that have signed the Agreement to twenty.	• The Parties
	Collective projects	• Identify two collective risks in the supply chain for further action.	• The Parties
		• Develop and implement specific projects/tools/actions to address collective risks.	• The Parties
	Collaboration with other IRBC Agreements	• Organise first exploratory meeting with other IRBC Sector Agreements.	• The Parties
Chapter 7 Monitoring and Evaluation		• Discuss the progress being made by the Agreement and evaluate the cooperation between Parties. ◌	• The Parties
		• Structure and execute annual evaluation. ◌	• The Parties
		• Annual report on contribution to Agreement. ◌	• The Parties and Supporting Organisations
		• Annual report on due diligence related plans, actions and results. ◌	• The Companies
		• Publish a collective progress report. ◌	• The Parties
		• Annual assessment of Companies due diligence efforts. ◌	• The Parties
		• Decision on a broader evaluation. ◌	• The Parties
		• Review and update Implementation Roadmap (regularly). ◌	• The Parties